

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

## Complete if Known

Application Number 09/398,665  
 Filing Date September 17, 1999  
 First Named Inventor GINTER et al.  
 Art Unit 2132  
 Examiner Name Justin T. DARROW  
 Attorney Docket Number 07451.0005-01000

JUN 10 2005

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>1</sup> Number <sup>2</sup> Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>4</sup>
JP		JP 01 248891 A	10-04-1989		H04N 7/167	Abstract only
JP		JP 01 296363 A	11-29-1989		G06F 12/14	Abstract only
JP		JP 01 68853 A	03-14-1989		G06F 12/06	Abstract only
JP		JP 04 117548 A	04-17-1992		G06F 15/00	Abstract only
JP		JP 04 504794	08-20-1992		G06F 9/06	Abstract only
JP		JP 05 173892 A	07-13-1993		G06F 12/14	Abstract only
JP		JP 05 258463 A	10-08-1993		G11B 20/10	Abstract only
JP		JP 06 152585 A	05-31-1994		H04L 9/00	Abstract only
JP		JP 06 161719 A	06-10-1994		G06F 9/06	Abstract only
JP		JP 06 250924 A	09-09-1994		G06F 12/08	Abstract only
JP		JP 06 501120 T	01-27-1994		G06F 13/00	Abstract only
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JP		JP 61 121145 A	06-09-1986		G06F 12/14	Abstract only
JP		JP 63 129564 A	06-01-1988		G11B 20/10	Abstract only
JP		JP 63 289646 A	11-28-1988		G06F 9/144	Abstract only

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>2</sup>
JP	C1	"Information Society Facing a Turning Point, Information Flood, How to Stand Against Flood of Copies," <i>Nikkei Byte</i> , 92:316-319, 1991.	Unavailable
JP	C2	GARRETT et al., "Toward an electronic Copyright Management System," <i>J. of the Amer. Soc. for Info.</i> , 44(8):468-473, 1993.	Yes
JP	C3	KOZUKA et al., "Electronic Magazine Editing Software for 3DO," <i>National Technical Report, Matsushita Electric Industrial Co., Ltd.</i> , 40(6):88-97, 1994.	Abstract only
JP	C4	ROZENBLIT, Moshe, "Secure Software Distribution," <i>IEEE Network Operations and Management Symposium</i> , 2:486-496, 1994.	Yes
JP	C5	SEKI et al., "A Proposal for New Software Distribution System Using a Secret Code," <i>Research Report of Information Processing Societies</i> , 93(64):19-28, 1993.	Abstract only

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(Use as many sheets as necessary)

Sheet 2 of 2

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Application Number	09/398,665
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First Named Inventor	GINTER et al.
Art Unit	2132
Examiner Name	Justin T. DARROW
Attorney Docket Number	07451.0005-01000

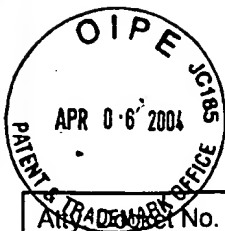
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C6	TERADA, Minoru, "Exhausting Software," <i>bit</i> , Kyoritsu Shuppan Co., Ltd., 26(10):12-18, 1994.	Unavailable
C7	TORII et al., "System Architecture for Super Distribution," <i>Technical Research Report of Institute of Electronics, Information and Communication Engineers</i> , 94(240):59-66, 1994.	Abstract only
C8	UEKI et al., "Accounting Processing in Right Management Mechanism for Super Distribution," <i>Study Report of Information Processing Societies</i> , 90(1):1-10, 1990.	Abstract only

Examiner Signature	<i>Justin Darrow</i>	Date Considered	06/24/2005
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



OMB No. 0651-0011

# INFORMATION DISCLOSURE CITATION

Any Other No.	07451.0005-01000	Appln. No.	09/398,665
Applicant GINTER et al.			
Filing Date	September 17, 1999	Group:	2132

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
<i>DD</i>	3,573,747 A	04/06/71	Adams, et al.	340	172.5	02/24/1969
<i>DD</i>	3,790,700 A	02/05/74	Callais, et al.	178	5.1	12/17/1971
<i>DD</i>	3,806,874 A	04/21/74	Ehrat	340	149A	04/10/1973
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<i>DD</i>	3,946,200 A	03/23/76	Juodikis	218	499	02/24/1975
<i>DD</i>	3,996,449 A	12/07/76	Attanasio et al.	235	RECEIVED	03/11/1975
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<i>DD</i>	4,162,483 A	07/24/79	Entenman	340	1472	04/01/1977
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<i>DD</i>	4,246,638 A	01/20/81	Thomas	364	200	08/14/1978
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<i>DD</i>	4,278,837 A	07/14/81	Best	178	22.09	06/04/1978
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<i>DD</i>	4,405,829 A	09/20/83	Rivest et al.	178	22.1	12/14/1977
<i>DD</i>	4,442,484 A	04/10/84	Childs, Jr et al.	364	200	10/14/1980
<i>DD</i>	4,471,216 A	09/11/84	Herve	235	380	10/27/1980
<i>DD</i>	4,523,271 A	06/11/85	Levien	364	200	06/22/1982
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<i>DD</i>	4,584,639 A	04/22/86	Hardy	364	200	12/23/1983
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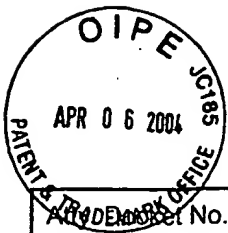


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Applicant	GINTER et al.		
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Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
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JP	4,796,220 A	01/03/89	Wolfe	364	200	12/15/1986
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JP	4,816,655 A	03/28/89	Musyck et al.	275	380	12/09/1986
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JP	4,893,248 A	01/09/90	Pitts et al.	364	46401	02/06/1987
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Applicant	GINTER et al.		
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Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
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PP	4,975,647 A	12/04/90	Downer et al.	324	425	11/01/1988
PP	4,975,878 A	12/04/90	Boddu et al.	365	189-07	09/18/1990
PP	4,995,082 A	02/19/91	Schnorr	380	23	02/23/1990
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PP	5,022,080 A	06/04/91	Durst et al.	380	23	04/16/1990
PP	5,027,397 A	06/25/91	Double et al.	380	4	09/12/1989
PP	5,032,979 A	07/16/91	Hecht et al.	364	200	06/22/1990
PP	5,058,162 A	10/15/91	Santon et al.	380	RECEIVED	08/07/1990
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PP	5,126,936 A	06/30/92	Champion, et al.	364	408	09/01/1989
PP	5,129,084 A	07/07/92	Kelly, Jr. et al.	385	650	06/29/1989
PP	5,138,712 A	08/11/92	Corbin	385	700	10/02/1989
PP	5,150,407 A	09/22/92	Chan	380	4	12/16/1991
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PP	5,187,787 A	2/1/1993	Skeen et al.	385	800	04/27/1990
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PP	5,204,897 A	04/20/93	Wyman	380	4	07/14/1992
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PP	5,218,605 A	06/08/93	Low et al.	371	16.1	01/31/1990
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Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
AD	5,241,671 A	08/31/93	Reed, et al.	395	600	10/26/1999
AD	5,251,294 A	10/05/93	Abelow	395	155	02/07/1990
AD	5,257,369 A	10/1/1993	Skeen et al.	395	200	04/20/1993
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AD	5,263,157 A	11/16/93	Janis	395	600	02/15/1990
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AD	5,337,357 A	08/09/94	Chou et al.	380	4	07/27/1993
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AD	5,367,621 A	11/22/94	Cohen et al.	395	154	08/06/1991
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AD	5,383,113 A	01/17/95	Kight et al.	364	401	07/25/1991

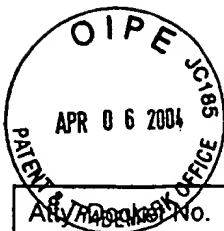


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<i>JP</i>	5,390,297 A	02/14/95	Barber et al.	395	200	11/10/1987
<i>JP</i>	5,412,717 A	05/02/95	Fischer	390	4	05/15/1992
<i>JP</i>	5,418,713 A	05/23/95	Allen	364	403	08/05/1993
<i>JP</i>	5,420,927 A	05/30/95	Michali	380	23	02/01/1994
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<i>JP</i>	5,440,634 A	08/08/95	Jones et al.	380	27	06/16/1993
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<i>JP</i>	5,457,747 A	10/10/95	Drexler et al.	390	24	06/14/1994
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<i>JP</i>	5,490,216 A	02/06/96	Ricahrdson III	380	4	09/21/1993
<i>JP</i>	5,524,933 A	06/11/96	Kunt et al.	283	67	01/28/1994
<i>JP</i>	5,530,235 A	06-25-96	Stefik, et al.	235	492	02-16-95
<i>JP</i>	5,534,855 A	07/09/96	Shockley et al.	340	825.303	12/15/1994
<i>JP</i>	5,534,975 A	07-09-96	Stefik, et al.	355	202	05-26-95
<i>JP</i>	5,535,322 A	07/09/96	Hecht	395	155	10/27/1992
<i>JP</i>	5,557,798 A	09/17/96	Skeen et al.	395	650	12/21/1994
<i>JP</i>	5,574,962 A	11/12/96	Fardeau et al.	455	2	12/20/1994
<i>JP</i>	5,577,209 A	11/19/96	Boyle et al.	395	200.06	07/05/1994
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<i>JP</i>	5,629,770 A	05/13/97	Brassil et al.	358	261.1	03/31/1995
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<i>JP</i>	5,636,276 A	06/03/97	Brugger et al.	380	4	04/10/1995

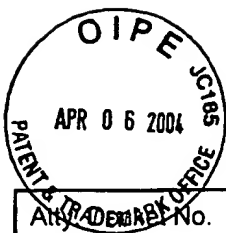


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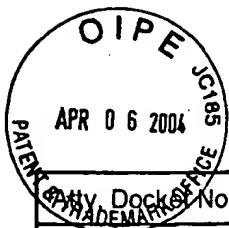
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Any <del>Trademark</del> No.	07451.0005-01000	Appln. No.	09/398,665
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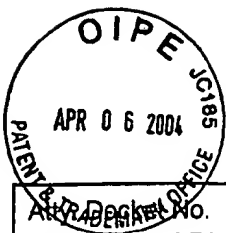
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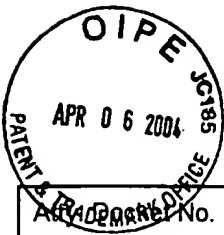
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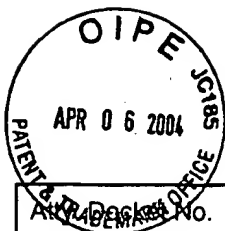
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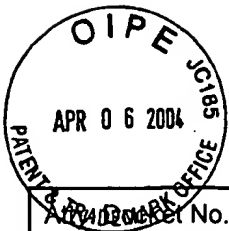


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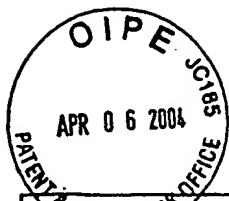


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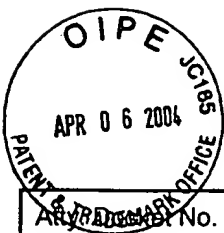


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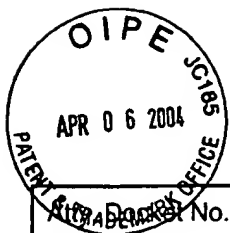


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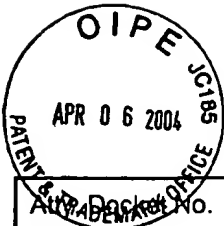


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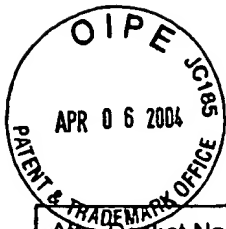
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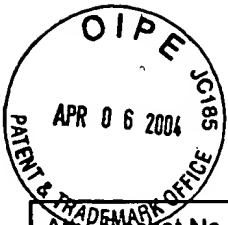


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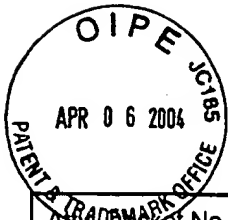
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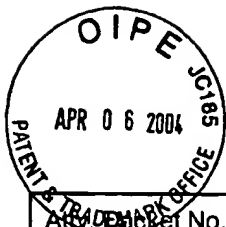
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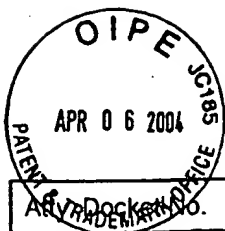
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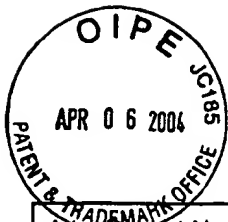
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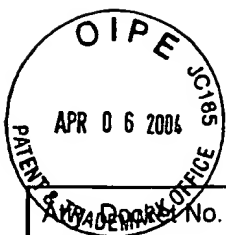
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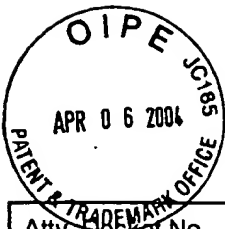
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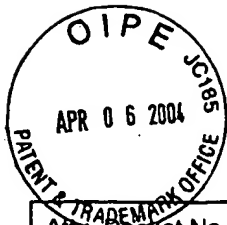


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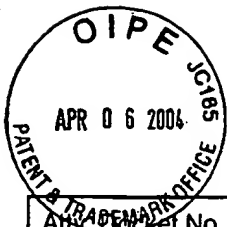


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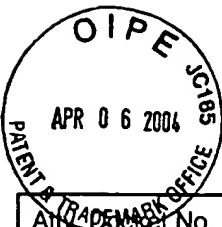
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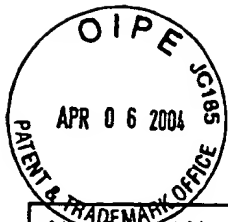


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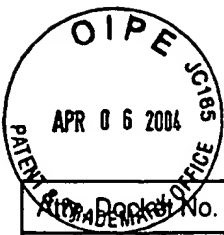
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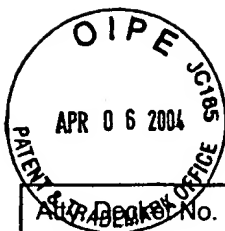
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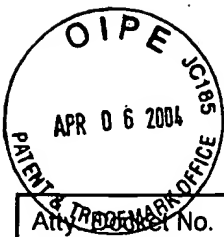
# INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

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Applicant No.	07451.0005-01000	Appln. No.	09/398,665	APR 13 2004
Applicant	GINTER et al.			
Filing Date	September 17, 1999	Group:	2132	Technology Center 2100

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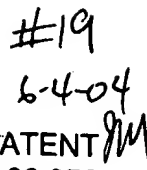
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Examiner	Jurth T. Darrow	Date Considered	06/24/2005
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
Form PTO 1449		Patent and Trademark Office - U.S. Department of Commerce	



Customer No. 22,852  
Attorney Docket No. 7451.0005-01  
InterTrust Ref. No.: IT-7.1 (US)

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 6, 2004. Express Mail Label Nos.: EV 398888008 US

**Cindy Baglietto**

**In re Application of:**

GINTER et al.

**Group Art Unit: 2132**

**Application No.: 09/398,665**

**Examiner:** DARROW, Justin T.

**Filed: September 17, 1999**

**For: DIGITAL CERTIFICATE  
SUPPORT SYSTEM, METHODS  
AND TECHNIQUES FOR SECURE  
ELECTRONIC COMMERCE  
TRANSACTION AND RIGHTS  
MANAGEMENT (as amended)**

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APR 13 2004

## Technology Center 2100

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**Sir:**

**INFORMATION DISCLOSURE UNDER 37 C.F.R. § 1.56:**  
**NOTICE OF INTERFERENCE**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants submit this Information Disclosure/IDS to bring to the attention of the Examiner the information below. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p); please charge this fee to our Deposit Account No. 06-0916. This submission is being filed after the events

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**180.00 DA**

Justin T. Barrow

06/24/2005

recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance.

Applicants hereby advise the Examiner that U.S. Pat. Appln. No. 09/411,205 ("the Ginter '205 application"), assigned to InterTrust Technologies Corporation, has become involved in an interference, entitled Benson v. Ginter, Patent Interference No. 105,142. Applicants enclose a copy of the Notice Declaring Interference, dated September 4, 2003 (Exhibit A), as well as attachments to the Notice.

The interference has been declared between the Ginter '205 application and U.S. Patent No. 5,845,281 to Benson (Benson I), U.S. Patent Appln. No. 09/164,606 to Benson (Benson II), and U.S. Patent Appln. No. 09/321,386 to Benson (Benson III). A copy of Benson I is attached as Exhibit B, while Exhibits C and D are listings of the claims of Benson II and III. The instant application and Ginter '205 both claim priority from the same ancestor application, Appln No. 08/388,107. The claims of the involved Ginter '205 application are attached as Exhibit E. The three Counts in the interference are described in Part F of the Notice (Exhibit A) and again in the Examiner's Interference Memorandum and accompanying comments, attached hereto as Exhibit F.

A second interference involving Macrovision and InterTrust was declared on December 18, 2003. A copy of the Notice is attached hereto as Exhibit G. Interference No. 105,193 involved InterTrust patents U.S. Pat Nos. 5,920,861; 5,982,891; 6,138,119; and 6,253,193, and Benson patent applications Benson II and III. The instant application and U.S. Patent Nos. 5,982,891 and 6,253,193 all claim the benefit of priority of the same parent application, Appln No. 08/388,107.

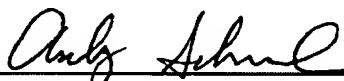
The co-pending subject application is also assigned to InterTrust and may claim related subject matter to the involved Ginter '205 application. In addition, the co-pending subject application may be related to the Ginter '205 application by: 1) claiming the benefit of priority of the great-grandparent application of the Ginter '205 application, namely Appln. No. 08/388,107; 2) incorporating by reference the Ginter '205 application or any of its parent applications; and/or 3) containing statements that it is or may be related to the Ginter '205 application or any of its parent applications.

If there is any additional fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: April 6, 2004

By:   
Andrew B. Schwaab  
Reg. No. 38,611

Finnegan Henderson Farabow  
Garrett & Dunner LLP  
1300 I Street, N.W.  
Washington, D.C. 20005-3315  
(202) 408-4000